



Standards Development

**Rachel Coyne
Executive Chief of Staff**

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Notice of this meeting was posted on the Texas RE website and this meeting is being held in public. Participants should keep in mind that the listening audience may include members of the press, representatives from various governmental authorities, and industry stakeholders.



Upcoming ERO Events



June 6 - July 13, 2023

Inverter-Based
Resource Webinar
Series



June 8, 2023

Resource Adequacy
Discussion Series



June 12, 2023

Technical Talk
with RF



slido

Product

Solut

Slido Question #1

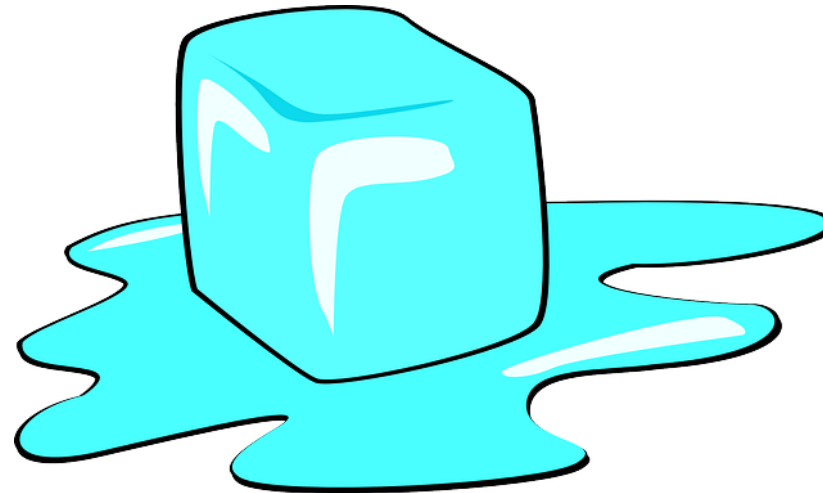
Have you ever participated in the Standards Development process?

#TXRE

Joining as a participant?

Enter event code

Join an existing event



What are Reliability Standards?

Navigating NERC's Website

- Requirement language
- Applicability
- Effective dates
- Implementation plans

Standards Development

- Process for developing standards
- How to participate



What are Reliability Standards?





A **requirement**, approved by the United States Federal Energy Regulatory Commission under Section 215 of the Federal Power Act, or approved or recognized by an applicable governmental authority in other jurisdictions, **to provide for Reliable Operation of the Bulk-Power System**. The term includes requirements for the operation of existing Bulk-Power System facilities, including cybersecurity protection, and the design of planned additional or modifications to such facilities to the extent necessary to provide for Reliable Operation of the Bulk Power System, but the term does not include any requirement to enlarge such facilities or to construct new transmission capacity or generation capacity.



95 Reliability Standards

Over 1600 Requirements

**Critical Infrastructure
Protection (CIP)**

**Operations & Planning
(O&P)**



NERC Reliability Standards Categories



BAL	Resource and Demand Balancing
COM	Communications
CIP	Critical Infrastructure Protection
EOP	Emergency Preparedness and Operations
FAC	Facilities Design, Connections, and Maintenance
INT	Interchange Scheduling and Coordination
IRO	Interconnection Reliability Operations and Coordination
MOD	Modeling, Data, and Analysis
NUC	Nuclear
PER	Personnel Performance, Training, and Qualifications
PRC	Protection and Control
TOP	Transmission Operations
TPL	Transmission Planning
VAR	Voltage and Reactive



Slido Question

Which of the following is NOT a Reliability Standard category?

- A. BAL
- B. CAM
- C. PRC
- D. VAR



- CIP-002:** BES Cyber System Categorization
- CIP-003:** Security Management Controls
- CIP-004:** Personnel & Training
- CIP-005:** Electronic Security Perimeter (ESP)
- CIP-006:** Physical Security of Critical Cyber Assets
- CIP-007:** Systems Security Management
- CIP-008:** Incident Reporting and Response Planning
- CIP-009:** Recovery Plans for Critical Cyber Assets
- CIP-010:** Configuration Change Management and Vulnerability Assessments
- CIP-011:** Information Protection
- CIP-012:** Communications Between Control Centers
- CIP-013:** Supply Chain Risk Management
- CIP-014:** Physical Security



Navigating NERC's Website



NERC Standards Webpage



The screenshot shows the NERC website's 'Standards' page. At the top, the NERC logo and navigation menu are visible, with 'Standards' highlighted. Below the navigation is a hero section with a nuclear power plant image and a mission statement. The main content area is divided into three columns: 'Headlines & News' with a list of recent articles, 'Standards' with a sub-header and a paragraph about the standards program, and 'Event Analysis, Reliability Assessment, and Performance Analysis' with a line graph and a paragraph about the program's goals. A 'Calendar' section is also present, listing various events and a 'View All Events' link.

NERC
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The vision for the Electric Reliability Organization Enterprise, which is comprised of NERC and the six Regional Entities, is a highly reliable and secure North American bulk power system. Our mission is to assure the effective and efficient reduction of risks to the reliability and security of the grid.

RELIABILITY | RESILIENCE | SECURITY

Headlines & News

- ▶ ERO Enterprise Publishes Cyber-Informed Transmission Planning White Paper
May 08, 2023
- ▶ NERC Announces Actions Addressing Physical Security
April 14, 2023
- ▶ Cross-Sector Collaboration: Addressing the Potential Challenges from Electric Vehicle Grid Impacts
April 10, 2023
- ▶ NERC Releases New Episode of Currently Compliant Podcast
April 05, 2023
- ▶ NERC Releases Recap of Technical Session's Inverter-Based Resource Panel
March 28, 2023
- ▶ Statement on FERC March Open Meeting
March 16, 2023

Newsroom Archives | [Follow on Twitter @NERC_Official](#) | [Follow on LinkedIn](#)

Standards

NERC's Standards program ensures the reliability of the bulk power system by developing quality reliability standards in a timely manner that are effective, clear, consistent and technically sound.

▶ Standards News

Calendar

Standards	Board of Trustees
Technical Committees, Conferences, and Workshops	Compliance
Performance Analysis	System Operator Certification and Continuing Education

[View All Events](#)

Event Analysis, Reliability Assessment, and Performance Analysis


The Event Analysis, Reliability Assessment, and Performance Analysis program assesses, measures and investigates historic trends and future projections to improve bulk power system reliability.

▶ Event Analysis, Reliability Assessment, and Performance Analysis News




Standards Webpage Navigation





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One-Stop-Shop (Status, Purpose, Implementation Plans, FERC Orders, RSAWS)

Reliability Standards

- [US Reliability Standards](#)
- [Complete Set of Reliability Standards](#)
- [VRF VSL Matrix](#)
- [Functional Model](#)
- [US Effective Date Status Functional Applicability](#)
- [Glossary of Terms](#)

Balloting & Commenting

Reliability Standards Under Development

- [Drafting Team Vacancies](#)
- [Project Tracking Spreadsheet](#)
- [Projected Posting Schedule](#)
- [Regional Standards Development](#)
- [Reliability Standards Development Plan](#)
- [Requests for Interpretations \(RFIs\)](#)
- [Standard Authorization Requests \(SARs\)](#)

Archived Reliability Standards Under Development

Standards Committee

Webinars

Workshops


Home > Program Areas & Departments > Standards

Standards

NERC Reliability Standards are developed using an industry-driven, ANSI-accredited process that ensures the process is open to all persons who are directly and materially affected by the reliability of the North American bulk power system; transparent to the public; demonstrates the consensus for each standard; fairly balances the interests of all stakeholders; provides for reasonable notice and opportunity for comment; and enables the development of standards in a timely manner. NERC's ANSI-accredited standards development process is defined in the Standard Processes Manual, and guided by [Reliability Principles](#) and [Market Principles](#).

NERC Reliability Standards define the reliability requirements for planning and operating the North American bulk power system and are developed using a [results-based approach](#) that focuses on performance, risk management, and entity capabilities. The Reliability Functional Model defines the functions that need to be performed to ensure the Bulk Electric System operates reliably and is the foundation upon which the Reliability Standards are based.

The Standards Committee (SC) oversees and prioritizes NERC's standards development activities. The Standards Committee also coordinates NERC's development of Reliability Standards with the North American Energy Standards Board's (NAESB) wholesale electric business practices. Standards drafting teams, which are made up of industry volunteers and supported by NERC staff, work collaboratively to develop requirements using results-based principles that focus on three areas: measurable performance, risk mitigation strategies, and entity capabilities.



Program Contacts

Submit Questions or Feedback

*Select "Standards" from the "Services" drop-down menu and select the appropriate option from the "Category" drop-down menu. Enter question/feedback in the description Box.

Subscribe to Standards Mailing List

Select "NERC Email Distribution Lists" from the "Service" drop-down menu and specify the list in the Description Box.

Program Links

- [ANSI Accreditation](#)
- [BES Definition, Notification, and Exception Process](#)
- [NAESB Coordination Efforts](#)
- [Standard Processes Manual](#)
- [Quality Review](#)

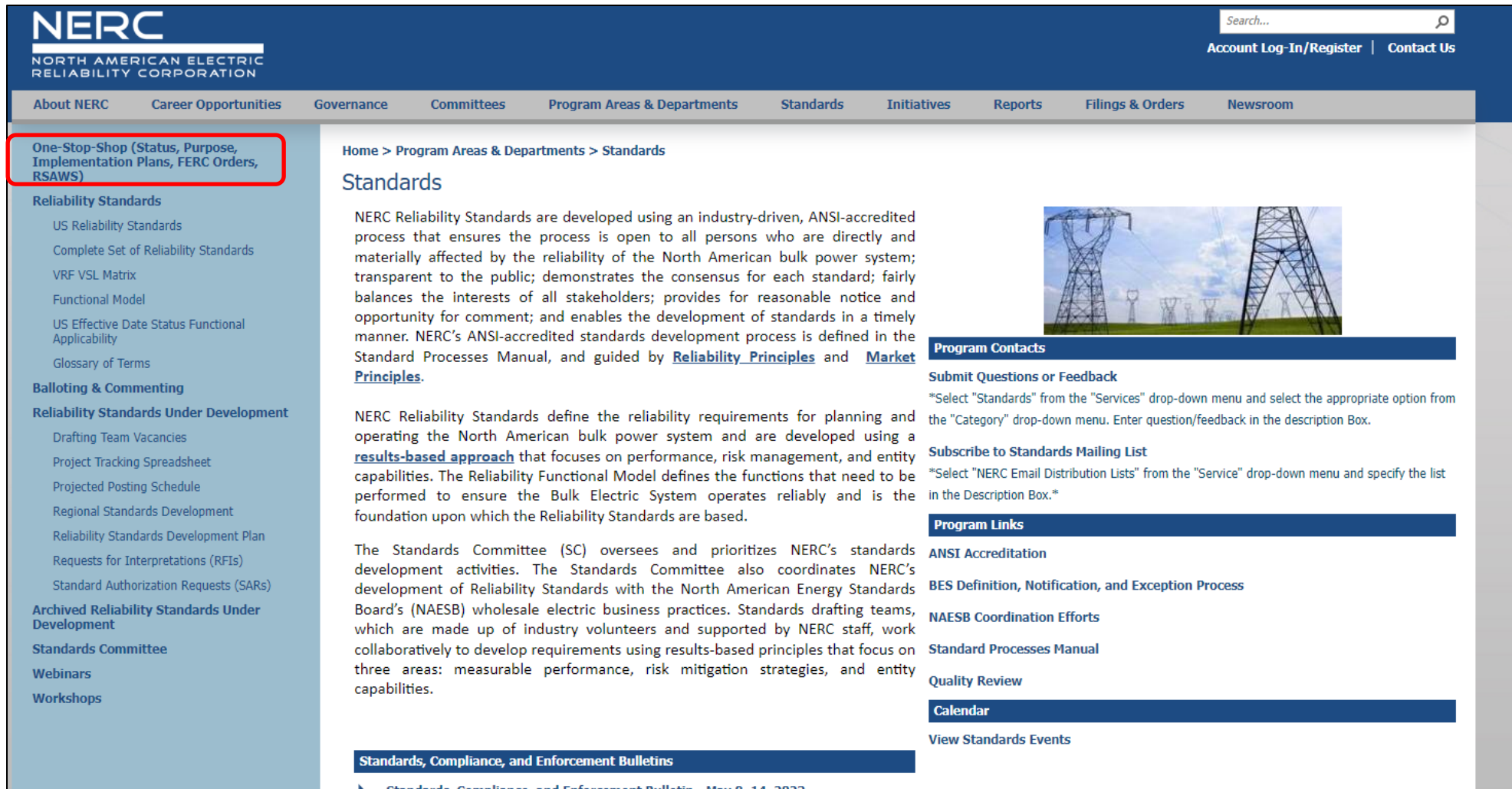
Calendar

[View Standards Events](#)

Standards, Compliance, and Enforcement Bulletins

[Standards, Compliance, and Enforcement Bulletin - May 8-14, 2022](#)





The screenshot shows the NERC website's 'One-Stop-Shop' page. The header includes the NERC logo, a search bar, and navigation links for 'Account Log-In/Register' and 'Contact Us'. A main navigation bar lists various sections, with 'One-Stop-Shop (Status, Purpose, Implementation Plans, FERC Orders, RSAWS)' highlighted in a red box. The left sidebar contains a detailed table of contents for the One-Stop-Shop, including sections for Reliability Standards, Balloting & Commenting, Standards Under Development, Archived Standards, and the Standards Committee. The main content area is titled 'Standards' and provides an overview of the standards development process, followed by a detailed paragraph about the results-based approach. A right-hand sidebar offers 'Program Contacts', 'Program Links', and 'Calendar' sections. At the bottom, a blue bar highlights 'Standards, Compliance, and Enforcement Bulletins' with a link to a May 8-14, 2022 bulletin.

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One-Stop-Shop (Status, Purpose, Implementation Plans, FERC Orders, RSAWS)

Reliability Standards

- US Reliability Standards
- Complete Set of Reliability Standards
- VRF VSL Matrix
- Functional Model
- US Effective Date Status Functional Applicability
- Glossary of Terms

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Reliability Standards Under Development

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- Project Tracking Spreadsheet
- Projected Posting Schedule
- Regional Standards Development
- Reliability Standards Development Plan
- Requests for Interpretations (RFIs)
- Standard Authorization Requests (SARs)

Archived Reliability Standards Under Development

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Program Links

- ANSI Accreditation
- BES Definition, Notification, and Exception Process
- NAESB Coordination Efforts
- Standard Processes Manual
- Quality Review

Calendar

View Standards Events

Standards, Compliance, and Enforcement Bulletins

Standards, Compliance, and Enforcement Bulletin - May 8-14, 2022



What This Spreadsheet Shows:

- U.S. Status (Column A)
- Purpose of the standard (Column D)
- Effective date of standard (Column I)
- Link to standard language (Column B)
- Link to Project Page (Column N)
- Link to Implementation Plan, if applicable (Column K)
- Note whether it is a phased-in Implementation Plan (Column I)
- Link to RSAW (Column P)
- Link to Lessons Learned, if applicable (Column Q)
- Link to Compliance Guidance, if applicable (Column R)

Does Not Include:

- Phased-in implementation dates
- Comprehensive list of standards throughout (NERC) history



CIP-003-8 - Cyber Security — Security Management Controls

A. Introduction

1. **Title:** Cyber Security — Security Management Controls
2. **Number:** CIP-003-8
3. **Purpose:** To specify consistent and sustainable security management controls that establish responsibility and accountability to protect BES Cyber Systems against compromise that could lead to misoperation or instability in the Bulk Electric System (BES).
4. **Applicability:**
 - 4.1. **Functional Entities:** For the purpose of the requirements contained herein, the following list of functional entities will be collectively referred to as “Responsible Entities.” For requirements in this standard where a specific functional entity or subset of functional entities are the applicable entity or entities, the functional entity or entities are specified explicitly.
 - 4.1.1. **Balancing Authority**
 - 4.1.2. **Distribution Provider** that owns one or more of the following Facilities, systems, and equipment for the protection or restoration of the BES:
 - 4.1.2.1. Each underfrequency Load shedding (UFLS) or undervoltage Load shedding (UVLS) system that:
 - 4.1.2.1.1. is part of a Load shedding program that is subject to one or more requirements in a NERC or Regional Reliability Standard; and
 - 4.1.2.1.2. performs automatic Load shedding under a common



B. Requirements and Measures

- R1.** Each Responsible Entity shall review and obtain CIP Senior Manager approval at least once every 15 calendar months for one or more documented cyber security policies that collectively address the following topics: *[Violation Risk Factor: Medium] [Time Horizon: Operations Planning]*
 - 1.1.** For its high impact and medium impact BES Cyber Systems, if any:
 - 1.1.1.** Personnel and training (CIP-004);
 - 1.1.2.** Electronic Security Perimeters (CIP-005) including Interactive Remote Access;
 - 1.1.3.** Physical security of BES Cyber Systems (CIP-006);
 - 1.1.4.** System security management (CIP-007);
 - 1.1.5.** Incident reporting and response planning (CIP-008);
 - 1.1.6.** Recovery plans for BES Cyber Systems (CIP-009);
 - 1.1.7.** Configuration change management and vulnerability assessments (CIP-010);
 - 1.1.8.** Information protection (CIP-011); and
 - 1.1.9.** Declaring and responding to CIP Exceptional Circumstances.
 - 1.2.** For its assets identified in CIP-002 containing low impact BES Cyber Systems, if any:
 - 1.2.1.** Cyber security awareness;
 - 1.2.2.** Physical security controls;
 - 1.2.3.** Electronic access controls;



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Applicability – Functional Applicability Spreadsheet



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Home > Program Areas & Departments > Standards

Standards

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Standards, Compliance, and Enforcement Bulletins

Standards, Compliance, and Enforcement Bulletin - May 8-14, 2022

Program Contacts

Submit Questions or Feedback
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Program Links

- ANSI Accreditation
- BES Definition, Notification, and Exception Process
- NAESB Coordination Efforts
- Standard Processes Manual
- Quality Review
- Calendar**
- View Standards Events



What This Spreadsheet Shows:

- Comprehensive list of standards throughout (NERC) history
- U.S. Status (Column A)
- Requirement Text (Column F)
- Filing Date (Column J)
- Regulatory Order Effective Date (Column L)
- Effective Date of Requirement/Part (Column M)
- Phased-in implementation dates, if applicable (Column N)
- Applicable Functions (Columns R – AL)



Slido Question

What information is available on NERC's One-Stop-Shop spreadsheet?

- A. Applicable Compliance Guidance
- B. Lessons Learned
- C. Standard effective dates
- D. Purpose of the standard
- E. All of the above ←



At a Minimum, the Implementation Plan Shall Include the Following:

- The proposed effective date (the date entities shall be compliant) for the Requirements
- Identification of any new or modified definitions that are proposed for approval with the associated Reliability Standard
- Whether there are any prerequisite actions that need to be accomplished before entities are held responsible for compliance with one or more of the Requirements
- Whether approval of the proposed Reliability Standard will necessitate any conforming changes to any already approved Reliability Standards – and identification of those Reliability Standards and Requirements
- The Functional Entities that will be required to comply with one or more Requirements in the proposed Reliability Standard



What This Spreadsheet Shows:

- U.S. Status (Column A)
- Purpose of the standard (Column D)
- Effective date of standard (Column I)
- Link to standard language (Column B)
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Does Not Include:

- Phased-in implementation dates
- Comprehensive list of standards throughout (NERC) history



Finding the NERC Glossary of Terms



The screenshot shows the NERC website interface. At the top left is the NERC logo (North American Electric Reliability Corporation). A search bar is at the top right. Below the logo is a navigation menu with items: About NERC, Career Opportunities, Governance, Committees, Program Areas & Departments, Standards, Initiatives, Reports, Filings & Orders, and Newsroom. The main content area is titled "Standards" and includes a breadcrumb "Home > Program Areas & Departments > Standards". The text explains that NERC Reliability Standards are developed using an industry-driven, ANSI-accredited process. A red box highlights the "Glossary of Terms" link in the left sidebar. Below the main text is a section for "Standards, Compliance, and Enforcement Bulletins" with a link to a bulletin from May 9-14, 2022. On the right side, there are sections for "Program Contacts", "Program Links", and "Calendar".



Glossary of Terms Used in NERC Reliability Standards

Updated March 29, 2022

This Glossary lists each term that was defined for use in one or more of NERC's continent-wide or Regional Reliability Standards and adopted by the NERC Board of Trustees from February 8, 2005 through March 29, 2022.

This reference is divided into four sections, and each section is organized in alphabetical order.

Subject to Enforcement

Pending Enforcement

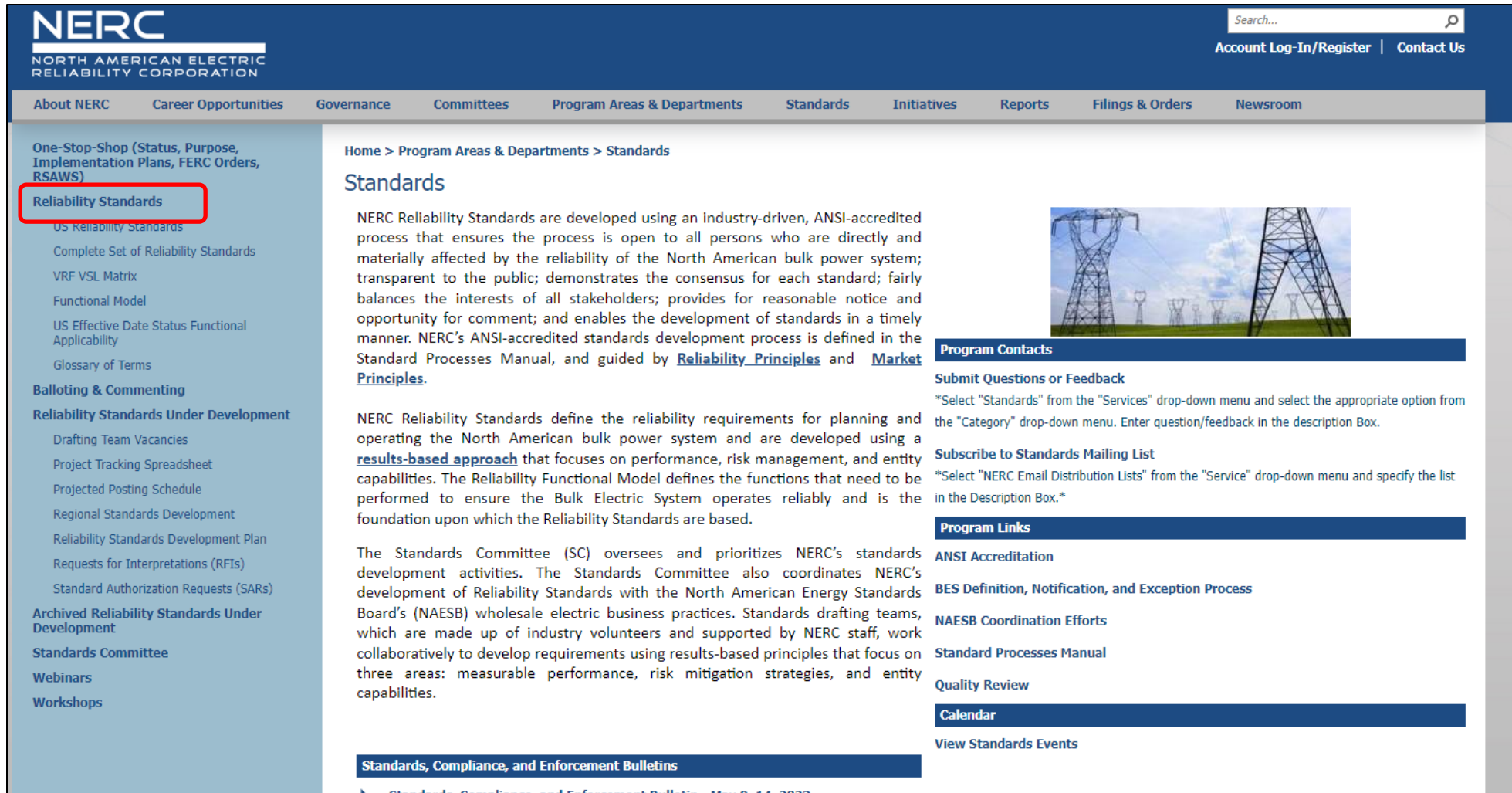
Retired Terms

Regional Definitions

The first three sections identify all terms that have been adopted by the NERC Board of Trustees for use in continent-wide standards; the Regional definitions section identifies all terms that have been adopted by the NERC Board of Trustees for use in regional standards.

Most of the terms identified in this glossary were adopted as part of the development of NERC's initial set of reliability standards, called the "Version 0" standards. Subsequent to the development of Version 0 standards, new definitions have been developed and approved following NERC's Reliability Standards Development Process, and added to this glossary following board adoption, with the "FERC effective" date added following a final Order approving the definition.






The screenshot shows the NERC website's 'Standards' page. The header includes the NERC logo, a search bar, and navigation links for 'Account Log-In/Register' and 'Contact Us'. The main navigation menu lists various sections like 'About NERC', 'Career Opportunities', 'Governance', etc. The left sidebar contains a list of links, with 'Reliability Standards' highlighted in a red box. The main content area features a breadcrumb trail 'Home > Program Areas & Departments > Standards' and a heading 'Standards'. The text explains that NERC standards are developed through an industry-driven, ANSI-accredited process. A photograph of power line towers is shown. On the right, there are sections for 'Program Contacts', 'Submit Questions or Feedback', 'Subscribe to Standards Mailing List', 'Program Links', and 'Calendar'. A 'Standards, Compliance, and Enforcement Bulletins' section is partially visible at the bottom.







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One-Stop-Shop (Status, Purpose, Implementation Plans, FERC Orders, RSAWS)

Reliability Standards

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Balloting & Commenting

Reliability Standards Under Development

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- Projected Posting Schedule
- Regional Standards Development
- Reliability Standards Development Plan
- Requests for Interpretations (RFIs)
- Standard Authorization Requests (SARs)

Archived Reliability Standards Under Development

Standards Committee

Webinars

Workshops

Home > Program Areas & Departments > Standards > Reliability Standards

Reliability Standards

Reliability standards are enforceable in all interconnected jurisdictions in North America: the continental United States; the Canadian provinces of Alberta, British Columbia, Manitoba, New Brunswick, Nova Scotia, Ontario, Quebec, and Saskatchewan; and the Mexican state of Baja California Norte. Following adoption of a standard by the NERC Board of Trustees, NERC files the standard with the appropriate authority in each jurisdiction. In the United States, NERC petitions the Federal Energy Regulatory Commission (FERC) for approval of standards. Please see the [provincial summaries](#) for an overview of the processes for making standards enforceable in Canadian jurisdictions.

Please select a jurisdiction from the table on the right for information on Reliability Standards and their status in that jurisdiction.

Regulatory Jurisdiction	
Alberta	Ontario
British Columbia	Quebec
Manitoba	Saskatchewan
New Brunswick	United States
Nova Scotia	

All Reliability Standards

Name	Standard Name	Status
(BAL) Resource and Demand Balancing (43)		
(CIP) Critical Infrastructure Protection (99)		
(COM) Communications (12)		
(EOP) Emergency Preparedness and Operations (38)		



One-Stop-Shop: A one-stop shop that includes links to standards, implementation plans, project pages, Reliability Standards Audit Worksheets, FERC Orders, and compliance guidance.

United States Mandatory Standards Subject to Enforcement: Standards that are currently enforceable in the United States.

United States Standards Subject to Future Enforcement: Standards that are approved by FERC, but not yet enforceable in the United States.

United States Standards Filed and Pending Regulatory Approval: Standards adopted by the NERC Board of Trustees (Board) and filed with FERC for approval, but not yet approved by FERC.

United States Standards Pending Regulatory Filing: Standards adopted by the Board, but not yet filed with FERC.

United States Inactive Standards: Standards that have been superseded by another and are no longer enforceable.

United States Standards Pending Inactive: Standards that have been superseded by other standards will never be enforceable. The status will change to inactive at midnight on the day immediately prior to the effective date of the superseding standard.

Download as Data

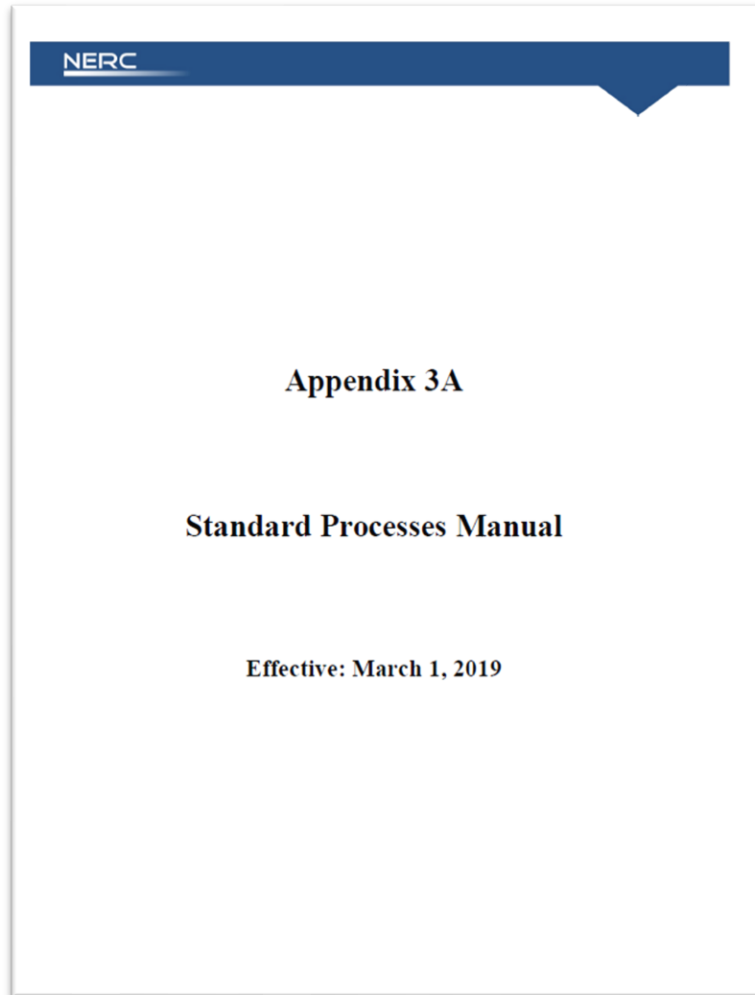
[Standards Requirements Parts All](#)

Please send any questions or comments via the [NERC Help Desk](#).



Standards Development





Appendix 3A to the Rules of Procedure: Standard Processes Manual



Standard Authorization Request (SAR)
Submitted



Drafting, Commenting, Voting



Approval



Balloting and Commenting



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Standards, Compliance, and Enforcement Bulletins

Standards, Compliance, and Enforcement Bulletin - May 9, 14, 2022

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Balloting and Commenting



Current and Upcoming Ballots (Sorted by End Date)			
Project	Action	Start Date	End Date
2023 Standard Processes Manual Revisions to Address SPSEG Recommendations Draft 2	Additional Ballot and Non-binding Poll	5/19/2023	5/30/2023
2017-01 Modifications to BAL-003 Phase II Draft 2	Additional ballots and non-binding poll	5/23/2023	6/1/2023
2021-01 Modifications to MOD-025 and PRC-019 Draft 2	Additional Ballots and Non-binding Polls	5/30/2023	6/8/2023
2021-06 Modifications to IRO-010 and TOP-003 Draft 2	Additional Ballots and Non-binding Polls	6/9/2023	6/20/2023
2021-02 Modifications to VAR-002-4.1 Draft 2	Additional ballots and non-binding poll	6/14/2023	6/23/2023

Join Ballot Pools (Sorted by End Date)			
Project	Action	Start Date	End Date
There are no items to show in this view of the "Reliability Standards Under Development - Commenting and Balloting" list.			

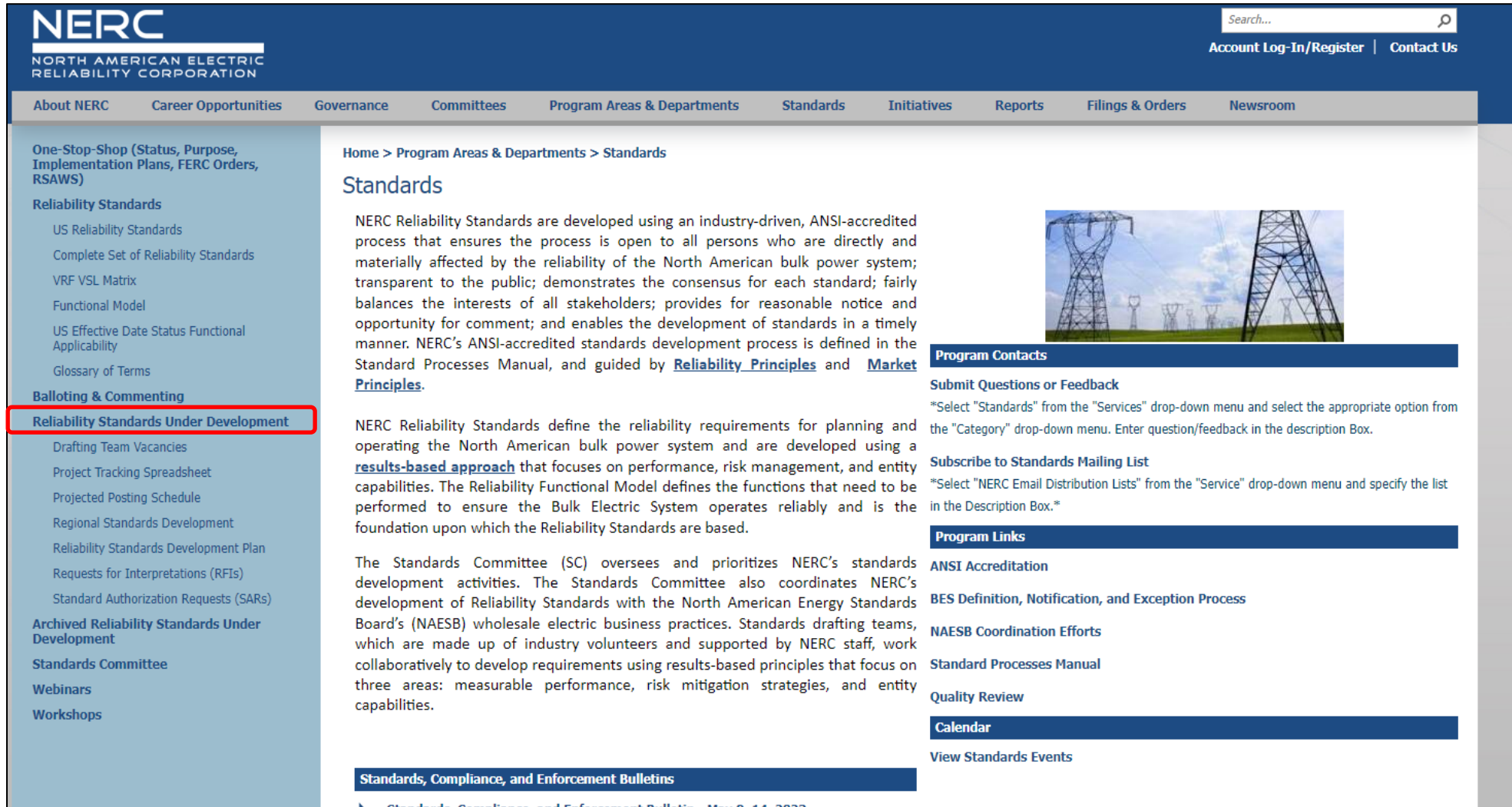
Posted for Comment (Sorted by End Date)			
Project	Action	Start Date	End Date
2022-02 Modifications to TPL-001 and MOD-032 Footnote 13.d SAR	Comment Period	4/13/2023	5/12/2023
2023-04 Modifications to CIP-003 SAR	Comment Period	3/31/2023	5/15/2023
2023 Standard Processes Manual Revisions to Address SPSEG Recommendations Draft 2	Comment Period	4/13/2023	5/30/2023
2017-01 Modifications to BAL-003 Phase II Draft 2	Comment Period	4/18/2023	6/1/2023
2021-01 Modifications to MOD-025 and PRC-019 Draft 2	Comment Period	4/25/2023	6/8/2023
2021-06 Modifications to IRO-010 and TOP-003 Draft 2	Comment Period	5/5/2023	6/20/2023
2021-02 Modifications to VAR-002-4.1 Draft 2	Comment Period	5/10/2023	6/23/2023

Note: All SBS activity concludes at 8 p.m. Eastern

SBS Information Links

[2017 SBS Enhancement Webinar Slides](#)





The screenshot shows the NERC website's 'Standards' page. The header includes the NERC logo and navigation links. The left sidebar contains a menu with 'Reliability Standards Under Development' highlighted in red. The main content area features a breadcrumb trail, a title, an introductory paragraph, a detailed paragraph about the standards development process, and a section for 'Standards, Compliance, and Enforcement Bulletins'. The right sidebar contains sections for 'Program Contacts', 'Program Links', and 'Calendar'.

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Home > Program Areas & Departments > Standards

Standards

NERC Reliability Standards are developed using an industry-driven, ANSI-accredited process that ensures the process is open to all persons who are directly and materially affected by the reliability of the North American bulk power system; transparent to the public; demonstrates the consensus for each standard; fairly balances the interests of all stakeholders; provides for reasonable notice and opportunity for comment; and enables the development of standards in a timely manner. NERC's ANSI-accredited standards development process is defined in the Standard Processes Manual, and guided by [Reliability Principles](#) and [Market Principles](#).

NERC Reliability Standards define the reliability requirements for planning and operating the North American bulk power system and are developed using a [results-based approach](#) that focuses on performance, risk management, and entity capabilities. The Reliability Functional Model defines the functions that need to be performed to ensure the Bulk Electric System operates reliably and is the foundation upon which the Reliability Standards are based.

The Standards Committee (SC) oversees and prioritizes NERC's standards development activities. The Standards Committee also coordinates NERC's development of Reliability Standards with the North American Energy Standards Board's (NAESB) wholesale electric business practices. Standards drafting teams, which are made up of industry volunteers and supported by NERC staff, work collaboratively to develop requirements using results-based principles that focus on three areas: measurable performance, risk mitigation strategies, and entity capabilities.

Standards, Compliance, and Enforcement Bulletins

Standards, Compliance, and Enforcement Bulletin - May 8, 14, 2022

Program Contacts

Submit Questions or Feedback
*Select "Standards" from the "Services" drop-down menu and select the appropriate option from the "Category" drop-down menu. Enter question/feedback in the description Box.

Subscribe to Standards Mailing List
Select "NERC Email Distribution Lists" from the "Service" drop-down menu and specify the list in the Description Box.

Program Links

ANSI Accreditation

BES Definition, Notification, and Exception Process

NAESB Coordination Efforts

Standard Processes Manual

Quality Review

Calendar

View Standards Events

Reliability Standards Under Development

- Drafting Team Vacancies
- Project Tracking Spreadsheet
- Projected Posting Schedule
- Regional Standards Development
- Reliability Standards Development Plan
- Requests for Interpretations (RFIs)
- Standard Authorization Requests (SARs)

Archived Reliability Standards Under Development

Standards Committee

Webinars

Workshops





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One-Stop-Shop (Status, Purpose, Implementation Plans, FERC Orders, RSAWS)

Reliability Standards

- US Reliability Standards
- Complete Set of Reliability Standards
- VRF VSL Matrix
- Functional Model
- US Effective Date Status Functional Applicability
- Glossary of Terms

Balloting & Commenting

Reliability Standards Under Development

- Drafting Team Vacancies
- Project Tracking Spreadsheet
- Projected Posting Schedule
- Regional Standards Development
- Reliability Standards Development Plan
- Requests for Interpretations (RFIs)
- Standard Authorization Requests (SARs)

Archived Reliability Standards Under Development

Standards Committee

Webinars

Workshops

Home > Program Areas & Departments > Standards > Project 2017-01 Modifications to BAL-003 - Phase II

Project 2017-01 Modifications to BAL-003 - Phase II

Related Files

Status
A 45-day formal comment period for draft two of Reliability Standard BAL-003-3 – Frequency Response and Frequency Bias Setting is open through 8 p.m. Eastern, Thursday, June 1, 2023.
10-day additional ballots and a non-binding poll of the associated Violation Risk Factors and Violation Severity Levels will be conducted May 23 - June 1, 2023.

Background
 Reliability Standard BAL-003-1 became effective in 2015. Supporting documents for BAL-003-1 were developed using engineering judgment on the data collection and process needed to determine the Interconnection Frequency Response Obligation (IFRO), as well as the processing of raw data to determine compliance. In the course of implementing the standard, minor errors in assumptions and process inefficiencies have been identified. Further, it was anticipated that as Frequency Response (FR) improves, the approaches embedded in the standard for collecting annual samples would need to be modified.

This project is a two-phase approach. The first phase addressed the Phase 1 recommendations in the Standard Authorization Request (SAR), resulting in Reliability Standard BAL-003-2. On July 15, 2020, the Federal Energy Regulatory Commission approved Reliability Standard BAL-003-2 and work began on the second phase of Reliability Standard improvements.

The scope of the work identified in the second phase is to (1) establish a real-time reliability standard addressing the necessary FR to maintain reliability; (2) establish comparability for the correct responsible entity; (3) develop real-time measurements incorporating topology difference; and (4) eliminate the incorrect indicators.

Standard(s) Affected: BAL-003-2 Frequency Response and Frequency Bias Setting

Purpose/Industry Need
 Proposed Reliability Standard BAL-003-3 and its process documents address the Project 2017-01 Phase II recommendations in the underlying SAR. In particular, the proposed revisions would make the Interconnection IFRO calculations and associated allocations improve representation of current conditions and considers characteristics affecting FR (e.g., load response, mix and type of generation). The proposed revisions would also modify provisions of the standard pertaining to the Frequency Response Measure (FRM) in order to ensure that over-performance by one entity does not negatively impact the performance evaluation of another entity. In addition, the proposed modifications seek to include all of the appropriate applicable entities in light of the revised requirements and make IFRO and FRM allocations as equitable as possible.

Further, the Reliability Standard revisions reflect the expectation that obligations associated with FRM reporting will eventually transition from occurring under the Reliability Standard to occur under a request issued pursuant to NERC Rules of Procedure (ROP)^[1], Section 1600 – Request for Data or Information, subject to NERC Board of Trustees approval. This is similar to transition for misoperations data collection effected under PRC-004-3^[2]. Data submission would continue under the present process until any Section 1600 data request could be issued pursuant to the rules under the NERC ROP.

¹ NERC Rules of Procedure are available at: https://www.nerc.com/AboutNERC/RulesOfProcedure/NERC_ROP_With_Appendices.pdf

² Reliability Standard PRC-004-3 available at: Project 2010-05.1 Implementation Plan (nerc.com)

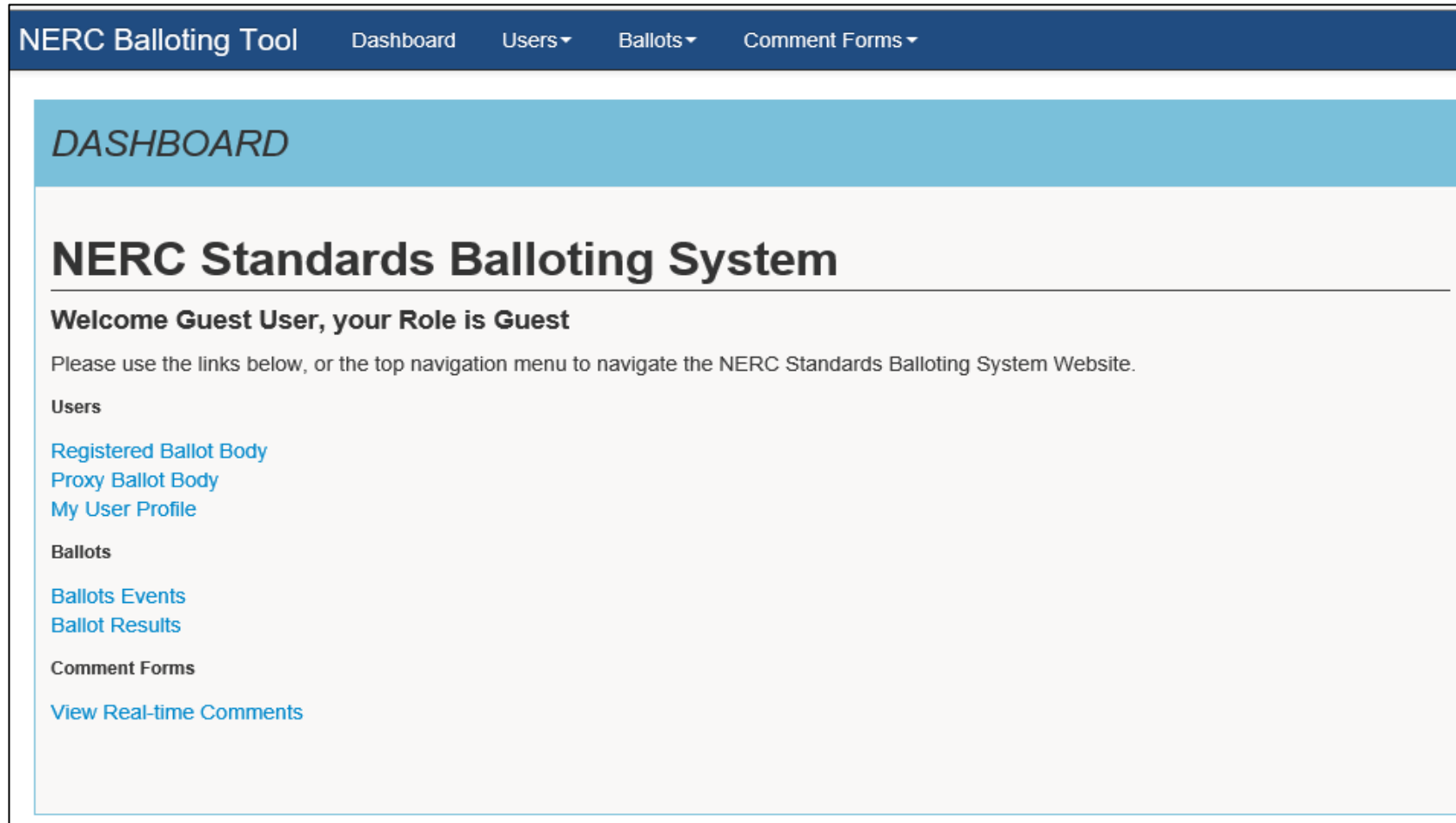
Draft	Actions	Dates	Results	Consideration of Comments
Draft 2 BAL-003-3 <small>Clean Redline to Last Approved Redline to Last Posted</small>				
	Additional Ballots and Non-binding			



Select "None" Email Distribution List from the "Service" drop-down menu and specify "Project 2021-00 Cold Weather Observer List" in the Description Box.

Draft	Actions	Dates	Results	Consideration of Comments
<p>Final Draft</p> <p>EOP-011-2 Clean Redline to Last Posted Redline to Last Approved</p> <p>IRO-010-4 Clean Redline to Last Posted Redline to Last Approved</p> <p>TOP-003-5 Clean Redline to Last Posted Redline to Last Approved</p> <p>Implementation Plan</p> <p>Supporting Materials</p> <p>VRF/VSL Justification</p>	<p>Final Ballots</p> <p>Info</p> <p>Vote</p>	<p>05/18/21 - 05/27/21</p>	<p>Ballot Results</p> <p>EOP-011-2</p> <p>IRO-010-4</p> <p>TOP-003-5</p>	
<p>Draft 2</p> <p>EOP-011-2 Clean Redline to Last Posted Redline to Last Approved</p> <p>IRO-010-4 Clean Redline to Last Posted Redline to Last Approved</p> <p>TOP-003-5 Clean Redline to Last Posted Redline to Last Approved</p> <p>Implementation Plan</p>	<p>Additional Ballots and Non-binding Polls</p> <p>Updated Info</p> <p>Info</p> <p>Vote</p>	<p>04/16/21 - 04/26/21</p>	<p>Ballot Results</p> <p>EOP-011-2</p> <p>IRO-010-4</p> <p>TOP-003-5</p> <p>Non-binding Poll Results</p>	



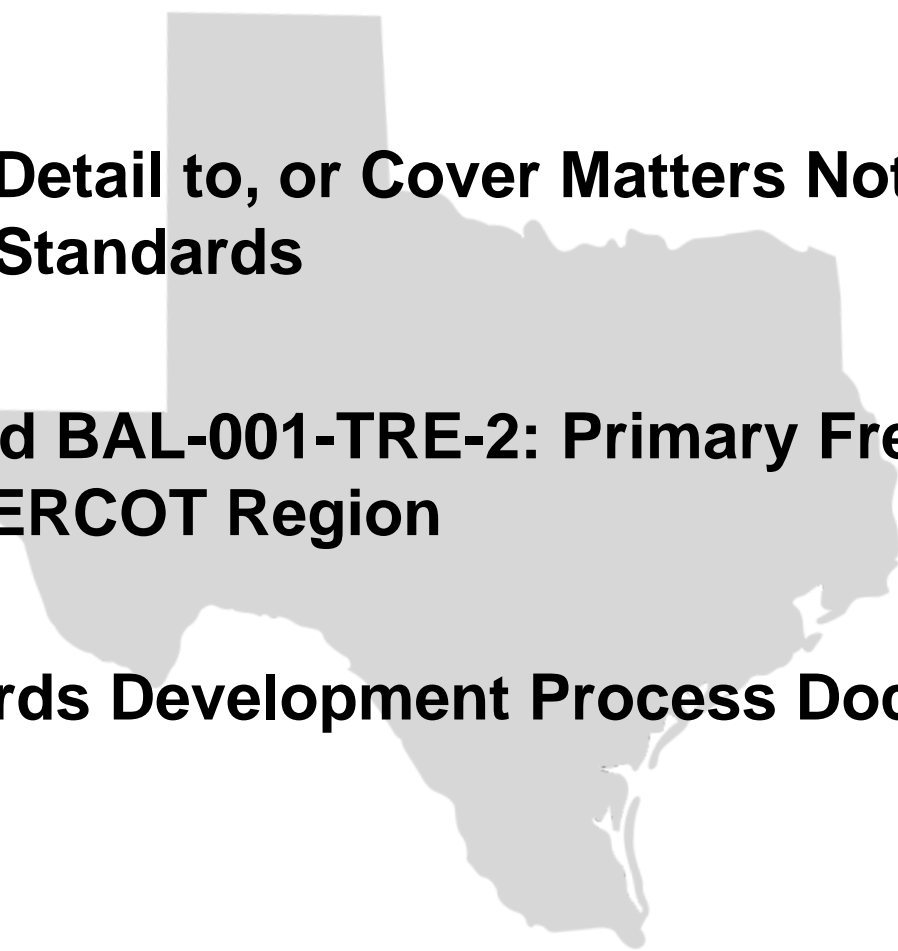


The screenshot shows the NERC Standards Balloting System dashboard. At the top is a dark blue navigation bar with the text "NERC Balloting Tool" and four dropdown menus: "Dashboard", "Users", "Ballots", and "Comment Forms". Below this is a light blue header with the word "DASHBOARD" in italics. The main content area has a white background with a large heading "NERC Standards Balloting System". Below the heading, it says "Welcome Guest User, your Role is Guest" and provides instructions to use the links or top navigation menu. There are three sections of links: "Users" (Registered Ballot Body, Proxy Ballot Body, My User Profile), "Ballots" (Ballots Events, Ballot Results), and "Comment Forms" (View Real-time Comments).

<https://sbs.nerc.net/>



- **Go Beyond, Add Detail to, or Cover Matters Not Addressed in NERC Reliability Standards**
- **Regional Standard BAL-001-TRE-2: Primary Frequency Response in the ERCOT Region**
- **Texas RE Standards Development Process Document**



- **[NERC Standards Balloting and Commenting Webpage](#)**
- **Subscribe to NERC Standards Mailing List**
 - NERC helpdesk: <https://support.nerc.net/>
- **Weekly Standards, Compliance, & Enforcement Bulletin**
- **Standard Drafting Team Meetings – Open meetings**
- **Industry Webinars**



NERC Standards Review Forum (NSRF)

- Discusses standards projects under development
- Discusses enforceable standards
- Join the Standards Mailing List by contacting information@texasre.org
- See Texas RE's [Standards webpage](#) for more information

CIP Working Group (CIPWG)

- Discusses cyber security issues, include CIP Reliability Standards
- See ERCOT's [CIPWG page](#) for more information



- [Rules of Procedure Appendix 3A – Standard Processes Manual](#)
- [NERC Standards Webpage](#)
 - [Reliability Standards](#)
 - [U.S. Enforcement Dates](#)
 - [Balloting and Commenting](#)
- [NERC Glossary of Terms](#)
- [NERC Standards Balloting System](#)
- [Texas RE Standards Development Process](#)





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Upcoming Sessions



June 5—Intro to Align

June 6—Standards Development

June 8 – Compliance Monitoring

June 13 – CIP 201

June 14 – Foundations of O&P

June 15 – O&P 201

June 20 – Risk-Based Approach to Reliability

June 21 – Improving Self-Reporting 201

June 22 – NERC Data Submission, Events Analysis, and Guidelines

June 27 – Initial Engagement Submissions

June 29 – Reliability Services

JUNE 2023						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
28	29	30	31	1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	1



The background of the slide features a blurred Texas state flag on the left and a target with several darts on the right. The darts are clustered in the center of the target, suggesting a focus or a goal.

Questions?



TEXAS RE

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